

# Machine Learning Methods for Automated Technical Skills Assessment with Instructional Feedback in Ultrasound-Guided Interventions

Matthew S. Holden<sup>1\*</sup>, Sean Xia<sup>1</sup>, Hillary Lia<sup>1</sup>, Zsuzsanna Keri<sup>1</sup>, Colin Bell<sup>2</sup>, Lindsey Patterson<sup>3</sup>, Tamas Ungi<sup>1</sup>, Gabor Fichtinger<sup>1</sup>

<sup>1</sup>Laboratory for Percutaneous Surgery, School of Computing, Queen's University, Kingston, ON, Canada

<sup>2</sup>Department of Emergency Medicine, School of Medicine, Queen's University, Kingston, ON, Canada

<sup>3</sup>Department of Anesthesiology and Perioperative Medicine, School of Medicine, Queen's University, Kingston, ON, Canada

\*Email: [72mh@queensu.ca](mailto:72mh@queensu.ca)

## Abstract

*Objective:* Currently, there is a worldwide shift towards competency-based medical education. This necessitates the use of automated skills assessment methods during self-guided interventions training. Making assessment methods that are transparent and configurable will allow assessment to be interpreted into instructional feedback. The purpose of this work is to develop and validate skills assessment methods in ultrasound-guided interventions that are transparent and configurable.

*Methods:* We implemented a method based upon decision trees and a method based upon fuzzy inference systems for technical skills assessment. Subsequently, we validated these methods for their ability to predict scores of operators on a 25-point global rating scale in ultrasound-guided needle insertions and their ability to provide useful feedback for training.

*Results:* Decision tree and fuzzy rule-based assessment performed comparably to state-of-the-art assessment methods. They produced median errors (on a 25-point scale) of 1.7 and 1.8 for in-plane insertions and 1.5 and 3.0 for out-of-plane insertions, respectively. In addition, these methods provided feedback that was useful for trainee learning. Decision tree assessment produced feedback with median usefulness 7 out of 7; fuzzy rule-based assessment produced feedback with median usefulness 6 out of 7.

*Conclusion:* Transparent and configurable assessment methods are comparable to the state-of-the-art and, in addition, can provide useful feedback. This demonstrates their value in self-guided interventions training curricula.

- 1 **Keywords:** ultrasound-guided needle insertion, simulation-based training, medical education,
- 2 objective skill assessment

## 1 Introduction

2 Globally, skills training for medical interventions is transitioning from a time-based model  
3 to a competency-based model. Under the old time-based model, trainees would practice an  
4 intervention for a fixed amount of time, at which point they would be deemed competent and  
5 graduate, or they would be deemed incompetent and have to undertake significant remediation.  
6 Under the new competency-based model, trainees practice until they achieve a predefined  
7 competency benchmark. This scheme allows each trainee to practice the precise amount of time  
8 they need to achieve competency. The drawback of this method is that trainees' competency  
9 needs to be continually monitored.

10 Expert-based methods for skills assessment include checklists, global rating scales, and  
11 entrustments scores. Checklists are application-specific rubrics which assess whether the operator  
12 performs each step in the intervention correctly [1]. Global rating scales (GRS) offer application-  
13 independent assessment of interventions across several different facets [2]. Entrustment scores  
14 assess to what degree a supervisor trusts the trainee to complete each face of the intervention [3].  
15 While these methods provide reliable assessment, in particular when combined [4], they rely on  
16 experts. With increasing medical class sizes and demands on expert time, it is not feasible to  
17 implement expert-based assessment on a wide scale. Instead, skill assessment should be  
18 automated.

19 Automated skills assessment can be applied to many different interventions (e.g.  
20 laparoscopy, open surgery, needle insertion, etc.), and can use data from many sources (e.g.  
21 instrument tracking, video, surgeon status, patient monitors) [5], [6]. Perhaps the most common  
22 method for automated skills assessment is metrics-based assessment. Under this paradigm, clinical  
23 experts specify what aspects of the intervention are relevant to operator skill. Subsequently, these  
24 can be implemented into a set of performance metrics: quantities that are understandable to  
25 trainees and clinicians and can be readily computed from measurable data. From these  
26 performance metrics, an overall skill level can be derived using pattern recognition or machine  
27 learning approaches.

28 Metrics-based overall skills assessment was initially addressed as an optimization  
29 problem, where each metric is treated as a cost and the most skillful operator is the one who best  
30 minimized the weighted sum of costs [7], [8]. Since, pattern recognition approaches have been  
31 used to achieve improved reliability in assessment. Chmarra et al. showed that linear discriminant  
32 analysis reliably distinguishes novices from intermediates from experts in laparoscopic training  
33 tasks [9]. Likewise, Allen et al. showed that support vector machines outperform cost-based  
34 approaches for skill classification in laparoscopic training tasks [10]. Oropesa et al. also  
35 demonstrated that support vector machines outperform linear discriminant analysis and adaptive-  
36 neuro fuzzy inference systems for laparoscopic training tasks [11]. Ahmidi et al. use support vector  
37 machines for skill classification for several difference types of performance metrics in septoplasty  
38 [12]. Fard et al. contrasted support vector machines with k-nearest neighbors and logistic  
39 regression for identifying novices and experts in robotic suturing tasks on real patients [13]. Kramer  
40 et al. have suggested learning vector quantization and self-organizing maps for assessment in  
41 simulated vascular surgery [14]. Neural network-based approaches have seen some success [15].  
42 Fuzzy pattern recognition approaches have also gained some traction, including rule-based  
43 methods [16], [17] and adaptive fuzzy inference systems [18].

44 In consultation with clinical experts, we suggest two criteria which metrics-based skills  
45 assessment methods should meet in order to be clinically useful: transparency and configurability.  
46 A machine learning approach is considered transparent if both the model is easy to interpret and

1 the principal of the method is easily understood [19], [20]. A machine learning approach is  
2 considered configurable if it has parameters which can be configured to improve performance  
3 based on domain-knowledge from a domain expert (Chiticariu et al. consider this a component of  
4 transparency [20]). In interventional skills assessment, transparency allows both the supervisor  
5 and trainee to understand why the trainee received a particular score and to interpret their results  
6 into actionable strategies to improve performance. Configurability allows the expert to adjust the  
7 assessment to their particular training scenario or to emphasize particular skills.

8 Of course, there are other methods for interventional skills assessment that are not based  
9 on performance metrics. In particular, temporal modelling [21], process monitoring [22], and end-  
10 to-end deep learning approaches [23] have shown some promise for skills assessment.  
11 Unfortunately, these methods do not provide adequate transparency to allow trainees and  
12 supervisors to interpret results into actionable feedback to improve performance. Crowd-sourcing  
13 can also provide accurate skills assessment and is effectively automated [24], but cannot provide  
14 immediate feedback.

15 The objective of this work is to develop and validate methods for overall skills assessment  
16 in percutaneous interventions. The methods should be transparent, configurable, and conducive  
17 to self-guided training. Subsequently, we evaluated (1) the accuracy of the proposed methods  
18 compared to state-of-the-art computer-assisted assessment and (2) the usefulness of the feedback  
19 provided by our proposed methods.

20 A preliminary version of this work has been reported [25].

## 21 **Methods**

### 22 *Skills Assessment Algorithms*

23 We aim to implement skills assessment algorithm which are transparent and  
24 configurable. Transparency and configurability are inherently subjective and fuzzy. In a review of  
25 common machine learning techniques, Kotsiantis identified three techniques as highly  
26 transparent: decision trees, naïve Bayes, and rule-based learners [19]. Naïve Bayes is more  
27 applicable for classification, and performs poorly for regression tasks [26]. This leaves decision  
28 trees and rule-based learners as transparent and configurable machine learning approaches for  
29 interventional skills assessment. Each skills assessment method takes a set of performance metrics  
30 as input (i.e. feature vector) and computes an overall skill level as output.

### 31 *Decision Tree Assessment*

32 For transparent and configurable assessment using decision trees, we use importance-  
33 aided decision trees [27] (Figure 1). This method is intended to incorporate domain-knowledge  
34 into decision trees, especially in lower levels of the decision tree when training data is limited.

35 In decision tree learning, a split is made based on the attribute and value which optimizes  
36 some measure of purity of each branch. In our case, for regression, we choose the within-branch  
37 variance as the attribute selection score. Following Al Iqbal et al., for an attribute  $x$ , we create a  
38 new attribute selection score  $S$  based on a linear combination of the within-branch variance score  
39  $S_v$  and the attribute's weight  $W$  [27].

$$40 \quad S(x) = (1 - \rho)S_v(x) + (\rho)W(x)$$

41 We select the attribute and split point which optimizes this new attribute selection score  
42  $S$ . The coefficient in the linear combination  $\rho$  grows inversely with the proportion of remaining  
43 training samples in the branch [27]. The splitting is stopped once the within-branch variance  
44 decreases beyond a certain threshold. At this point, all training instances in the branch will

1 effectively have the same skill level. We observe that in the case of equal attribute weights, this  
2 functions in the same way as a classical decision tree.

3 As identified by Kotsiantis, this method is transparent [19]. The user is presented with the  
4 traversal of the decision tree and the splitting criteria. As actionable feedback, we can identify the  
5 metrics associated with splits in the traversal where the branch center changed most. The  
6 feedback “well done” is provided when all splits in the traversal result in positive change in the  
7 branch center. This method is configurable in that the weights associated with each attribute can  
8 be adjusted. As demonstrated by Al Iqbal et al., incorporating this domain-knowledge into the  
9 decision tree can improve the accuracy of assessment [27].

### 10 *Fuzzy Rule-Based Assessment*

11 For rule-based assessment that is transparent and configurable, we use a set of fuzzy  
12 inference rules (Figure 1). In particular, we choose to use rules of the form: IF <metric> is <skill  
13 level> THEN operator is <skill level>. For example, IF elapsed time is expert THEN operator is  
14 expert. Such a rule is defined for each metric and skill level pair.

15 In practice, this requires us to define a membership function for each skill class and a  
16 membership function for each metric for each skill class. We define the skill class membership  
17 functions as symmetrical triangular functions on the range [0, 1] overlapping such that  
18 membership over all classes sums to one [16], [17]. The metric membership function for each skill  
19 class is computed empirically from the training data by Gaussian kernel density estimation, using  
20 the Silverman rule-of-thumb to estimate the bandwidth [28]. Importantly, each training instance  
21 may have membership in multiple skill classes and contribute with different weight to multiple  
22 metric membership functions.

23 We use clipping based on the membership in the input function to compute the output  
24 membership function for each rule. The set of fuzzy rules is combined and defuzzified by  
25 computing the mean of the maximum of the output membership functions.

26 This rule-based assessment method is transparent [19]. The user is presented with the  
27 rules that were applied and their strengths. As actionable feedback, we can identify the metrics  
28 for which the net influence of all associated fuzzy rules is the strongest. The feedback “well done”  
29 is provided when for each metric the net influence of all rules associated with that metric is  
30 positive. This method can be configured by allowing the weights associated with each rule to be  
31 adjusted or fuzzy rules to be added or removed. In particular, experts can add more sophisticated  
32 rules based on their domain-knowledge for improved accuracy.

### 33 *Validation of Assessment Accuracy*

34 We validated our assessment methods on both in-plane and out-of-plane needle  
35 insertions on a vascular access phantom (CAE Healthcare), following the setup used in Xia et al.  
36 [29]. We recorded 19 trainees and 5 experts performing in-plane insertions and 19 trainees and 5  
37 experts performing out-of-plane insertions. Trainees were recorded at two points during a training  
38 curriculum (Figure 2). Experts were each recorded once. Operators used a Teleded MicrUs linear  
39 ultrasound probe (Teleded Medical Systems). We recorded videos of participants’ hands and  
40 tracked the needle and ultrasound probe. Tools were tracked with the Ascension trakStar  
41 (Northern Digital Inc.), and data was recorded using the PLUS Toolkit ([www.plustoolkit.org](http://www.plustoolkit.org)) [30]  
42 and Perk Tutor ([www.perktutor.org](http://www.perktutor.org)) [31]. We computed eight metrics for in-plane insertions and  
43 seven metrics for out-of-plane insertions (Table 1) [29]. These metrics were designed based on  
44 consultation with clinical experts, and they are intended to cover all relevant aspects of the  
45 ultrasound-guided needle insertion tasks.

1           As ground-truth assessment, we did not use participants' level of training. Instead, we  
2 recruited three clinical experts to assess participants' performance via anonymized hand motion  
3 videos using a previously validated global rating scale [32], [33]. The mean overall expert  
4 assessment provides a ground-truth skill level out of 25.

5           To determine the weight associated with each metric, we interviewed the same three  
6 clinical experts who provided ratings on the global rating scale, and we asked them to rate the  
7 importance of each metric for skills assessment on a seven-point Likert scale. We linearly scaled  
8 these ratings onto the interval [0, 1].

9           Subsequently, we validated the performance of our proposed assessment methods using  
10 leave-one-user-out cross-validation. We computed difference in the output of the proposed  
11 assessment methods with the mean expert rating. We then compared these results with the  
12 results achieved from several standard methods: (1) zero-rule regression (i.e. always guessing the  
13 mean scores), (2) linear regression, an empirically optimal version of the sum of z-scores method  
14 [8], (3) support vector machine regression, which has been shown to achieve state-of-the-art  
15 results in several assessment tasks [10]–[13], (4) nearest-neighbor regression with sequential  
16 forward feature selection, which achieves highly accurate assessment in suturing and knot tying  
17 [34], [35], (5) random regression forests, a generalization on decision tree regression. To compare  
18 the methods, we used a Friedman test with pairwise Dunn's post hoc tests with Bonferroni  
19 correction ( $\alpha=0.05$ ). To determine whether our assessment methods are comparable to these  
20 other methods, we performed non-inferiority sign-rank tests ( $\alpha=0.05$ ) with the pooled standard  
21 deviation in expert ratings as the non-inferiority margin.

22           To determine the added value of expert-configured assessment, we used Bonferroni-  
23 corrected sign-rank tests ( $\alpha=0.05$ ) to compare unconfigured assessment with expert-configured  
24 assessment. We tested: (1) assessing the mean expert-assigned score using the mean expert  
25 configuration and (2) assessing each expert-assigned score using each expert's respective  
26 configuration.

### 27 *Validation of Feedback Accuracy*

28           To assess the quality of feedback provided by our methods, we mapped each metric to a  
29 plain-language description (Table 2). This was done in consultation with our clinical experts to  
30 ensure the vocabulary covers all possible feedback an expert might provide to trainees during in a  
31 typical training scenario. Subsequently, we asked one expert to review each trainee's post-training  
32 video (as this was identified by experts as the most useful stage for feedback). At the end of each  
33 video, we showed the expert all the different feedbacks and asked them to rate the usefulness of  
34 each one on a seven-point Likert scale (1 = strongly disagree that feedback was useful; 4 = neutral;  
35 7 = strongly agree that feedback was useful).

36           We compared the usefulness of the feedback provided by the proposed methods with  
37 the usefulness of the  $k$ th most useful feedback by sign-rank test ( $\alpha=0.05$ ), for all  $k$ . We report the  
38 smallest  $k$  for which the predicted feedback is significantly more useful than the  $k$ th most useful  
39 feedback provided by the expert. This provides evidence of the usefulness of the proposed  
40 methods relative to expert feedback, without being skewed by the fact that experts found the  
41 majority of feedbacks to be useful. We also report confusion matrices for the truly most useful  
42 feedback compared to the predicted feedback.

## 1 Results

### 2 *Assessment Accuracy*

3 For ground-truth skill, the average measures intraclass correlation coefficient was 0.90  
4 for the in-plane insertions and 0.93 for the out-of-plane insertions, indicating good reliability. For  
5 decision tree assessment and fuzzy rule-based assessment respectively, the median errors were  
6 1.7 and 1.8 for in-plane insertions and 1.5 and 3.0 for out-of-plane insertions (Figure 3). Post hoc  
7 tests revealed decision tree assessment significantly outperformed all methods except support  
8 vector machine assessment (Table 3).

9 Decision tree assessment was non-inferior to all other assessment methods for both in-  
10 plane and out-of-plane insertions. Fuzzy rule-based assessment was non-inferior to all other  
11 assessment methods for in-plane insertions. For out-of-plane insertions, however, significance was  
12 not achieved. In fact, for out-of-plane insertions, fuzzy rule-based assessment was non-inferior to  
13 only zero-rule and nearest neighbor with sequential forward feature selection.

14 Reliability in the mean expert-defined weights was poor. The average measures intraclass  
15 correlation coefficient was 0.49 for in-plane insertions and 0.32 for out-of-plane insertions. When  
16 we used the expert-defined weights in the configurable assessment methods, the change in  
17 accuracy was insignificant (Figure 4).

### 18 *Feedback Accuracy*

19 The usefulness of the feedback was rated a median 7 out of 7 and a mean 5.8 out of 7 on  
20 a Likert scale for decision tree assessment. Likewise, the usefulness of the feedback was rated a  
21 median 6 out of 7 and a mean 5.3 out of 7 on a Likert scale for fuzzy rule-based assessment (Figure  
22 5). Decision tree assessment produced useful feedback 74% of the time, and fuzzy rule-based  
23 assessment produced useful feedback 63% of the time (feedback rated 5, 6, or 7 out of 7 on a  
24 Likert scale). Furthermore, both methods produced significantly better than neutral feedback.  
25 Confusion matrices illustrate the most commonly misclassified feedback (Figure 6).

26 Compared to expert feedback, we found that for in-plane insertions, both decision tree  
27 assessment and fuzzy rule-based assessment produced significantly better feedback than the 5<sup>th</sup>  
28 best expert feedback. For out-of-plane insertions, decision tree assessment produced significantly  
29 better feedback than the 3<sup>rd</sup> best expert feedback, and fuzzy rule-based assessment produced  
30 significantly better feedback than the 5<sup>th</sup> best expert feedback. In all cases, the feedback produced  
31 by the proposed methods was better than the median expert feedback, but this was significant  
32 only for decision tree assessment in out-of-plane insertions.

## 33 Discussion

34 The results show that transparent and configurable assessment methods (1) perform  
35 comparably to state-of-the-art methods and (2) provide useful feedback for training. In particular,  
36 decision tree assessment performed the most accurately and provided the most useful feedback  
37 for our dataset. We did not observe a significant change in assessment accuracy when experts  
38 configured the proposed methods based on their domain knowledge [36], [37]. We believe the  
39 lack of significant improvement in the presented results may be due to our definition of ground-  
40 truth skill as a sum of global rating scale scores, without considering the importance of each aspect.  
41 Furthermore, the experts found all the metrics that were defined to be useful on average (rated  
42 as 5 or higher out of 7), and thus, the expert configurations are not substantially different from the  
43 default configuration.

1           We have identified our methods as transparent and identified methods such as support  
2 vector machines as opaque. While we have followed the work of Kotsiantis in identifying machine  
3 learning techniques as transparent [19], these classifications are inherently fuzzy. Although there  
4 is ongoing work in introspection in deep learning [38] allowing users to gain some understanding  
5 of how the deep model reached the result, it is unclear how well such methods will be accepted  
6 into practice [39].

7           One of the limitations of our feedback is the finite nature of our feedback vocabulary. While  
8 our feedback vocabulary was generated in consultation with experts to cover every aspect of the  
9 intervention, it does not allow feedback to be tailored to a particular trainee, as preceptors would  
10 do in practice. We observed that experts rated the top feedback as a median of 7 out of 7,  
11 indicating they agreed that the feedback from the vocabulary was indeed useful.

12           Another challenge of this work was determining the weights for each feature. We used a Likert  
13 scale to capture experts' opinion about the importance of each aspect of the intervention, and  
14 linearly scaled these responses to weights. But we observed that there was poor consistency  
15 between experts. This indicates that each expert may value different aspects of ultrasound-guided  
16 insertions. Our methods would allow the assessment to be tailored to each expert individually.

17           Although there are five experts and nineteen trainees for each of in-plane and out-of-plane  
18 insertions, we observe that ground-truth global rating scores cluster towards the higher end of the  
19 scale. This creates a problem of unbalanced regression and may affect the reported results.

20           We observed that in 8% and 29% of cases, "well done" was incorrectly predicted as the most  
21 useful feedback, for decision tree and fuzzy rule-based assessment, respectively. But the feedback  
22 "well done" may not be the most instructive for trainees. The proposed methods could be adapted  
23 to provide this feedback less frequently. For decision tree assessment, this could be achieved by  
24 requiring all splits in the traversal to have a change in branch center above a certain threshold.  
25 Analogously for fuzzy rule-based assessment, the could be achieved by requiring the net influence  
26 of all rules associated with each metric to be above a certain threshold. The threshold value could  
27 be tuned to optimize a sensitivity and specificity criterion.

28           We have shown that the proposed methods work effectively for skills assessment and  
29 feedback in both in-plane and out-of-plane ultrasound-guided needle insertions. Our setup has  
30 shown evidence for face and content validity [29]. Because the overwhelming majority of  
31 ultrasound-guided interventions use one of these approaches, we suggest the results will apply to  
32 most ultrasound-guided interventions. Recent work has shown it takes approximately 85 practice  
33 attempts to reach proficiency in ultrasound-guided needle insertions [40]. Our experts believe that  
34 the feedback provided by our system will be most applicable after 10 practice attempts when the  
35 trainee has fully understood the basics of the intervention.

36           Our results are consistent with other work demonstrating the utility of metrics-based  
37 assessment of interventional skills [7], [8]. In the context of ultrasound-guided needle insertions,  
38 we have shown that transparent and configurable methods are comparable to state-of-the-art  
39 methods for assessment but, in addition, can provide useful feedback.

40           In the future, we suggest further study into how the proposed methods perform in specific  
41 ultrasound-guided interventions (e.g. biopsy, epidural, central line) and how they may be extended  
42 to other types of interventions. It has been previously shown that generic performance metrics  
43 may not be equally applicable to all interventions [41] and application-specific metrics provide  
44 added value over generic metrics [42]. Thus, in order to extend these methods to other  
45 interventions, it is necessary to develop application-specific performance metrics and a feedback  
46 vocabulary in consultation with expert clinicians. These are the only places where we have infused  
47 application-specific knowledge into the proposed methods.



1 We also suggest a future longitudinal study examining the effect of providing the proposed  
2 computer-generated feedback on trainee learning. Such a study could better identify the added  
3 value of the proposed feedback methods over self-guided training without feedback. Prior work  
4 has shown that feedback through 3D visualization can improve ultrasound-guided interventions  
5 learning [43], but has not evaluated the impact of targeted feedback.

6 We make the proposed methods available to the community through Perk Tutor  
7 ([www.perktutor.org](http://www.perktutor.org)) [31].

## 8 **Conclusion**

9 We have demonstrated that transparent and configurable skills assessment methods are  
10 comparably accurate to state-of-the-art methods. In contrast to state-of-the-art methods,  
11 however, transparent and configurable methods were shown to provide useful feedback for  
12 training. Importance-aided decision tree assessment provided the most accurate assessment with  
13 feedback.

14 Thus, transparent and configurable assessment methods can be adopted into practice to  
15 provide feedback without compromising accuracy. We have also demonstrated that they can be  
16 customized by experts to suit the particular application or emphasize particular skills.

17 We envision these methods could be employed in an ultrasound-guided interventions  
18 training curriculum. They would monitor trainee learning curves and provide automated  
19 instructions during self-directing learning. This would serve to supplement supervision and  
20 assessment from expert preceptors.

## 21 **Acknowledgements**

22 Matthew S. Holden is supported by the Link Foundation Fellowship in Modelling,  
23 Simulation, and Training. Gabor Fichtinger is supported as a Canada Research Chair in Computer-  
24 Integrated Surgery.

## 25 **Compliance with Ethical Standards**

### 26 *Conflict of Interest*

27 All authors declare that they have no conflict of interest.

### 28 *Ethical Approval*

29 All procedures in this study involving human participants were performed in accordance  
30 with the ethical standards of the institution, and were approved by the research ethics board at  
31 Queen's University. This study does not contain any procedures involving animals.

### 32 *Informed Consent*

33 All participation was voluntary, and written informed consent was obtained from all  
34 participants.

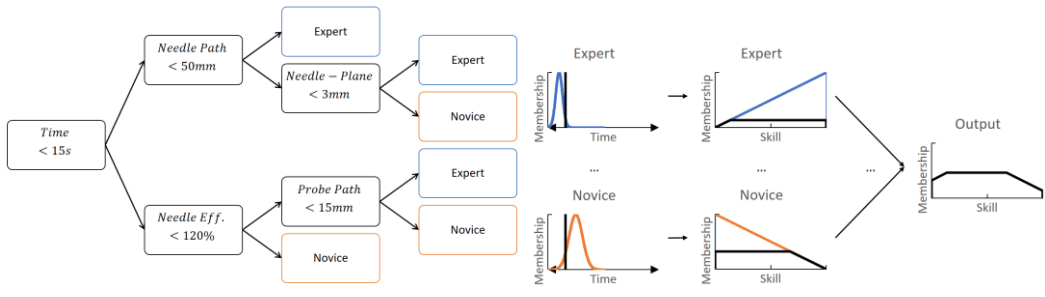
## 35 **References**

- 36 [1] R. M. Harden, M. Stevenson, W. W. Downie, and G. M. Wilson, "Assessment of clinical  
37 competence using objective structured examination.," *Br. Med. J.*, vol. 1, no. 5955, pp.  
38 447–51, 1975.
- 39 [2] C. P. Winckel, R. K. Reznick, M. Frcsc, and R. Cohen, "Reliability and Construct Validity of a

- 1           Structured Technical Skills Assessment Form,” *Am. J. Surg.*, vol. 167, pp. 423–427, 1994.
- 2 [3]       W. T. Gofton, N. L. Dudek, T. J. Wood, F. Balaa, and S. J. Hamstra, “The Ottawa Surgical
- 3       Competency Operating Room Evaluation (O-SCORE): A tool to assess surgical
- 4       competence,” *Acad. Med.*, vol. 87, no. 10, pp. 1401–1407, 2012.
- 5 [4]       J. A. Martin, G. Regehr, R. Reznick, H. Macrae, J. Murnaghan, C. Hutchison, and M. Brown,
- 6       “Objective structured assessment of technical skill (OSATS) for surgical residents,” *Br. J.*
- 7       *Surg.*, vol. 84, no. 2, pp. 273–278, 1997.
- 8 [5]       C. E. Reiley, H. C. Lin, D. D. Yuh, and G. D. Hager, “Review of methods for objective surgical
- 9       skill evaluation,” *Surg. Endosc.*, vol. 25, no. 2, pp. 356–366, Feb. 2011.
- 10 [6]       S. S. Vedula, M. Ishii, and G. D. Hager, “Objective Assessment of Surgical Technical Skill and
- 11       Competency in the Operating Room,” *Annu. Rev. Biomed. Eng.*, vol. 19, no. 1, pp. 301–325,
- 12       Jun. 2017.
- 13 [7]       S. A. Fraser, D. R. Klassen, L. S. Feldman, G. A. Ghitulescu, D. Stanbridge, and G. M. Fried,
- 14       “Evaluating laparoscopic skills, setting the pass/fail score for the MISTELS system,” *Surg.*
- 15       *Endosc. Other Interv. Tech.*, vol. 17, no. 6, pp. 964–967, 2003.
- 16 [8]       N. Stylopoulos, S. Cotin, S. K. K. Maithel, M. Ottensmeyer, P. G. G. Jackson, R. S. S. Bardsley,
- 17       P. F. F. Neumann, D. W. W. Rattner, S. L. L. Dawson, M. Ottensmeyer, P. G. G. Jackson, R.
- 18       S. S. Bardsley, P. F. F. Neumann, D. W. W. Rattner, and S. L. L. Dawson, “Computer-
- 19       enhanced laparoscopic training system (CELTS): bridging the gap,” *Surg Endosc*, vol. 18, no.
- 20       5, pp. 782–789, May 2004.
- 21 [9]       M. K. Chmarra, S. Klein, J. C. F. de Winter, F.-W. W. Jansen, and J. Dankelman, “Objective
- 22       classification of residents based on their psychomotor laparoscopic skills,” *Surg. Endosc.*
- 23       *Other Interv. Tech.*, vol. 24, no. 5, pp. 1031–1039, May 2010.
- 24 [10]       B. Allen, V. Nistor, E. Dutson, G. Carman, C. Lewis, and P. Faloutsos, “Support vector
- 25       machines improve the accuracy of evaluation for the performance of laparoscopic training
- 26       tasks,” *Surg Endosc*, vol. 24, no. 1, pp. 170–178, Jan. 2010.
- 27 [11]       I. Oropesa, P. Sánchez-González, M. K. Chmarra, P. Lamata, R. Pérez-Rodríguez, F. W.
- 28       Jansen, J. Dankelman, and E. J. Gómez, “Supervised classification of psychomotor
- 29       competence in minimally invasive surgery based on instruments motion analysis,” *Surg.*
- 30       *Endosc. Other Interv. Tech.*, vol. 28, no. 2, pp. 657–670, Feb. 2014.
- 31 [12]       N. Ahmidi, P. Poddar, J. D. Jones, S. S. Vedula, L. Ishii, G. D. Hager, and M. Ishii, “Automated
- 32       objective surgical skill assessment in the operating room from unstructured tool motion in
- 33       septoplasty,” *Int. J. Comput. Assist. Radiol. Surg.*, vol. 10, no. 6, pp. 981–991, 2015.
- 34 [13]       M. J. Fard, S. Ameri, R. Darin Ellis, R. B. Chinnam, A. K. Pandya, and M. D. Klein, “Automated
- 35       robot-assisted surgical skill evaluation: Predictive analytics approach,” *International*
- 36       *Journal of Medical Robotics and Computer Assisted Surgery*, vol. 14, no. 1, p. e1850, 2017.
- 37 [14]       B. D. Kramer, D. P. Losey, M. K. O’Malley, and M. K. O’Malley, “SOM and LVQ Classification
- 38       of Endovascular Surgeons Using Motion-Based Metrics,” in *Advances in Self-Organizing*
- 39       *Maps and Learning Vector Quantization: Proceedings of the 11th International Workshop*
- 40       *WSOM 2016, Houston, Texas, USA, January 6-8, 2016*, vol. 428, E. Merényi, M. J.
- 41       Mendenhall, and P. O’Driscoll, Eds. Cham: Springer International Publishing, 2016, pp.
- 42       227–237.
- 43 [15]       M. Uemura, M. Tomikawa, T. Miao, R. Souzaki, S. Ieiri, T. Akahoshi, A. K. Lefor, and M.
- 44       Hashizume, “Feasibility of an AI-Based Measure of the Hand Motions of Expert and Novice
- 45       Surgeons,” *Comput. Math. Methods Med.*, 2018.
- 46 [16]       I. Hajshirmohammadi and S. Payandeh, “Fuzzy set theory for performance evaluation in a
- 47       surgical simulator,” *Presence-Teleoperators Virtual Environ.*, vol. 16, no. 6, pp. 603–622,

- 1 2007.
- 2 [17] M. Riojas, C. Feng, A. Hamilton, and J. Rozenblit, "Knowledge elicitation for performance  
3 assessment in a computerized surgical training system," *Appl. Soft Comput. J.*, vol. 11, no.  
4 4, pp. 3697–3708, 2011.
- 5 [18] J. Huang, S. Payandeh, P. Doris, and I. Hajshirmohammadi, "Fuzzy classification: towards  
6 evaluating performance on a surgical simulator.," *Stud. Health Technol. Inform.*, vol. 111,  
7 pp. 194–200, 2005.
- 8 [19] S. B. Kotsiantis, "Supervised Machine Learning: A Review of Classification Techniques,"  
9 *Informatica*, vol. 31, pp. 249–268, 2007.
- 10 [20] L. Chiticariu, Y. Li, and F. Reiss, "Transparent Machine Learning for Information Extraction:  
11 State-of-the-Art and the Future," in *Conference on Empirical Methods in Natural Language  
12 Processing*, 2015, pp. 4–6.
- 13 [21] J. Rosen, J. D. Brown, L. Chang, M. Barreca, M. Sinanan, and B. Hannaford, "The  
14 BlueDRAGON - a system for measuring the kinematics and dynamics of minimally invasive  
15 surgical tools in-vivo," in *IEEE International Conference on Robotics and Automation*, 2002,  
16 vol. 2, pp. 1876–1881.
- 17 [22] G. Forestier, F. Lalys, L. Riffaud, B. Trelhu, and P. Jannin, "Classification of surgical processes  
18 using dynamic time warping," *J. Biomed. Inform.*, vol. 45, no. 2, pp. 255–264, 2012.
- 19 [23] H. Doughty, D. Damen, and W. Mayol-Cuevas, "Who's Better? Who's Best? Pairwise Deep  
20 Ranking for Skill Determination," in *Computer Vision and Pattern Recognition*, 2018, p. in  
21 press.
- 22 [24] T. M. Kowalewski, B. Comstock, R. Sweet, C. Schaffhausen, A. Menhadji, T. Averch, G. Box,  
23 T. Brand, M. Ferrandino, J. Kaouk, B. Knudsen, J. Landman, B. Lee, B. F. Schwartz, E.  
24 McDougall, and T. S. Lendvay, "Crowd-Sourced Assessment of Technical Skills for  
25 Validation of Basic Laparoscopic Urologic Skills Tasks," *J. Urol.*, vol. 195, no. 6, pp. 1859–  
26 1865, 2016.
- 27 [25] M. S. Holden, H. Lia, S. Xia, Z. Keri, T. Ungi, and G. Fichtinger, "Configurable Overall Skill  
28 Assessment in Ultrasound-Guided Needle Insertion," in *16th Annual Imaging Network  
29 Ontario Symposium (ImNO)*, 2018.
- 30 [26] E. Frank, L. Trigg, G. Holmes, and I. H. Witten, "Technical note: Naive Bayes for regression,"  
31 *Mach. Learn.*, vol. 41, no. 1, pp. 5–25, 2000.
- 32 [27] M. R. Al Iqbal, S. Rahman, S. I. Nabil, and I. U. A. Chowdhury, "Knowledge based decision  
33 tree construction with feature importance domain knowledge," in *2012 7th International  
34 Conference on Electrical and Computer Engineering*, 2012, pp. 659–662.
- 35 [28] B. W. Silverman, *Density Estimation for Statistics and Data Analysis*, no. 1951. 1986.
- 36 [29] S. Xia, Z. Keri, M. S. Holden, R. Hisey, H. Lia, T. Ungi, C. H. Mitchell, and G. Fichtinger, "A  
37 learning curve analysis of ultrasound-guided in-plane and out-of-plane vascular access  
38 training with Perk Tutor," in *Medical Imaging 2018: Image-Guided Procedures, Robotic  
39 Interventions, and Modeling*, 2018, vol. 10576, p. 66.
- 40 [30] A. Lasso, T. Heffter, A. Rankin, C. Pinter, T. Ungi, and G. Fichtinger, "PLUS: Open-source  
41 toolkit for ultrasound-guided intervention systems," *IEEE Trans. Biomed. Eng.*, vol. 61, no.  
42 10, pp. 2527–2537, 2014.
- 43 [31] T. Ungi, D. Sargent, E. Moulton, A. Lasso, C. Pinter, R. C. McGraw, and G. Fichtinger, "Perk  
44 Tutor: An Open-Source Training Platform for Ultrasound-Guided Needle Insertions," *IEEE  
45 Trans. Biomed. Eng.*, vol. 59, no. 12, pp. 3475–3481, Dec. 2012.
- 46 [32] K. Domuracki, A. Wong, L. Olivieri, and L. E. M. Grierson, "The impacts of observing flawed  
47 and flawless demonstrations on clinical skill learning," *Med. Educ.*, vol. 49, no. 2, pp. 186–

- 1 192, 2015.
- 2 [33] I. W. Y. Ma, N. Zalunardo, G. Pachev, T. Beran, M. Brown, R. Hatala, and K. McLaughlin,  
3 "Comparing the use of global rating scale with checklists for the assessment of central  
4 venous catheterization skills using simulation," *Adv. Heal. Sci. Educ.*, vol. 17, no. 4, pp. 457–  
5 470, 2012.
- 6 [34] A. Zia, Y. Sharma, V. Bettadapura, E. L. Sarin, M. A. Clements, and I. Essa, "Automated  
7 Assessment of Surgical Skills Using Frequency Analysis," in *Medical Image Computing and  
8 Computer-Assisted Interventions - MICCAI 2015, Pt I*, 2015, vol. 9349, pp. 430–438.
- 9 [35] A. Zia, Y. Sharma, V. Bettadapura, E. L. Sarin, and I. Essa, "Video and accelerometer-based  
10 motion analysis for automated surgical skills assessment," *Int. J. Comput. Assist. Radiol.  
11 Surg.*, vol. 13, no. 3, 2018.
- 12 [36] S. Stumpf, V. Rajaram, L. Li, M. Burnett, T. Dietterich, E. Sullivan, R. Drummond, and J.  
13 Herlocker, "Toward harnessing user feedback for machine learning," in *Proceedings of the  
14 12th international conference on Intelligent user interfaces - IUI '07*, 2007, p. 82.
- 15 [37] J. Talbot, B. Lee, A. Kapoor, and D. S. Tan, "EnsembleMatrix: interactive visualization to  
16 support machine learning with multiple classifiers," *Learning*, pp. 1283–1292, 2009.
- 17 [38] L. A. Hendricks, Z. Akata, M. Rohrbach, J. Donahue, B. Schiele, and T. Darrell, "Generating  
18 visual explanations," in *Lecture Notes in Computer Science (including subseries Lecture  
19 Notes in Artificial Intelligence and Lecture Notes in Bioinformatics)*, 2016, vol. 9908 LNCS,  
20 pp. 3–19.
- 21 [39] B. M. Muir, "Trust between humans and machines, and the design of decision aids," *Int. J.  
22 Man-Machine Stud.*, vol. 27, no. 5–6, pp. 527–539, Nov. 1987.
- 23 [40] R. McGraw, T. Chaplin, C. McKaigney, L. Rang, M. Jaeger, D. Redfearn, C. Davison, T. Ungi,  
24 M. Holden, C. Yeo, Z. Keri, and G. Fichtinger, "Development and Evaluation of a Simulation-  
25 based Curriculum for Ultrasound-guided Central Venous Catheterization," *CJEM*, pp. 1–9,  
26 May 2016.
- 27 [41] V. Datta, S. Mackay, M. Mandalia, and A. Darzi, "The use of electromagnetic motion  
28 tracking analysis to objectively measure open surgical skill in the laboratory-based model,"  
29 *J. Am. Coll. Surg.*, vol. 193, no. 5, pp. 479–485, 2001.
- 30 [42] M. S. Holden, Z. Keri, T. Ungi, and G. Fichtinger, "Overall Proficiency Assessment in Point-  
31 of-Care Ultrasound Interventions: The Stopwatch is not Enough," in *Imaging for Patient-  
32 Customized Simulations and Systems for Point-of-Care Ultrasound: International  
33 Workshops, BIVPCS 2017 and POCUS 2017, Held in Conjunction with MICCAI 2017, Québec  
34 City, QC, Canada, September 14, 2017, Proceedings*, M. J. Cardoso, T. Arbel, J. M. R. S.  
35 Tavares, S. Aylward, S. Li, E. Boctor, G. Fichtinger, K. Cleary, B. Freeman, L. Kohli, D. Shipley  
36 Kane, M. Oetgen, and S. Pujol, Eds. Cham: Springer International Publishing, 2017, pp. 146–  
37 153.
- 38 [43] H. Lia, Z. Keri, M. S. Holden, V. Harish, C. H. Mitchell, T. Ungi, and G. Fichtinger, "Training  
39 with Perk Tutor improves ultrasound-guided in-plane needle insertion skill," in *SPIE  
40 Medical Imaging*, 2017, p. 101350T--101350T.
- 41

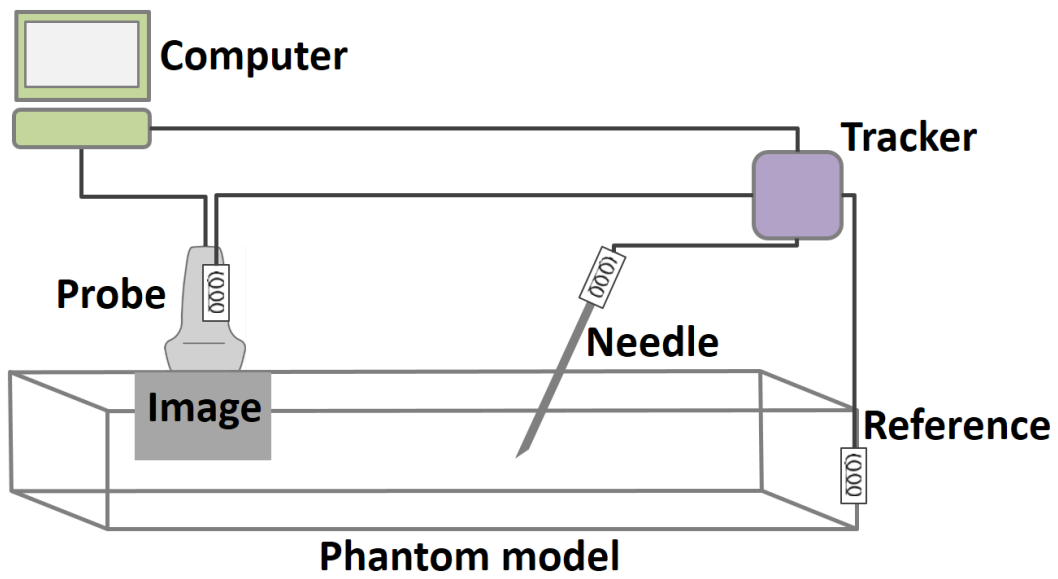


1  
2  
3  
4

Figure 1. Illustration of importance-aided decision tree assessment (left) and fuzzy rule-based assessment (right) in ultrasound-guided needle insertion assessment using performance metrics.

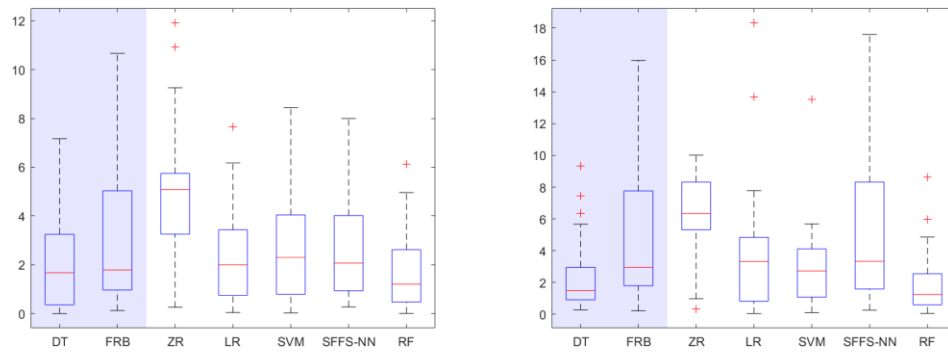


1



2

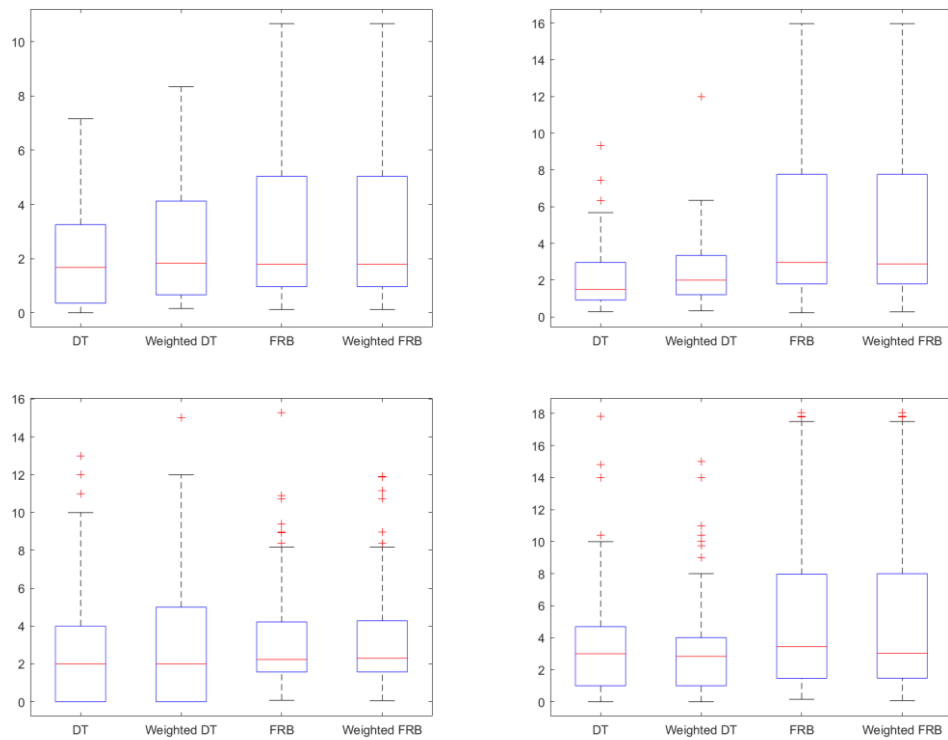
3 Figure 2. Photograph of a trainee participant performing an ultrasound-guided in-plane needle  
 4 insertion (top) and schematic diagram of setup (bottom). The electromagnetic pose trackers used  
 5 are attached to the base of the needle, base of the ultrasound probe, and exterior of the phantom.  
 6



1

2 Figure 3. Error in assessment for the decision tree (DT), fuzzy rule-based (FRB), zero-rule (ZR), linear  
 3 regression (LR), support vector machine (SVM), nearest neighbor with sequential forward feature  
 4 selection (SFFS-NN), and random forest (RF) assessment methods for in-plane insertions (left) and  
 5 out-of-plane insertions (right). Data from 24 users over 43 trials.

6



1

2

3

4

5

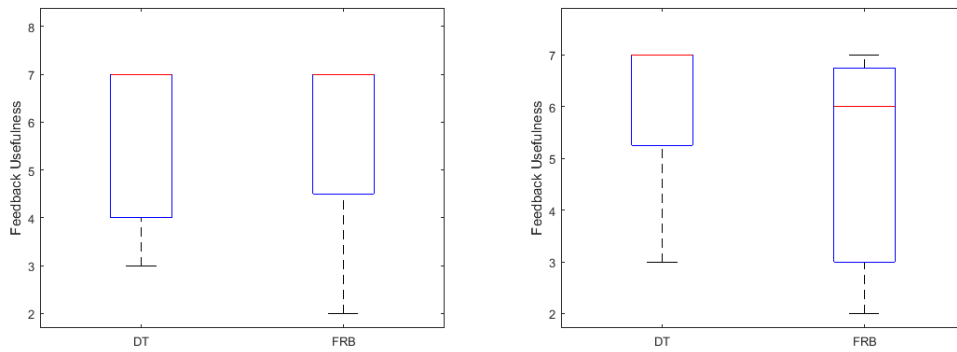
6

7

8

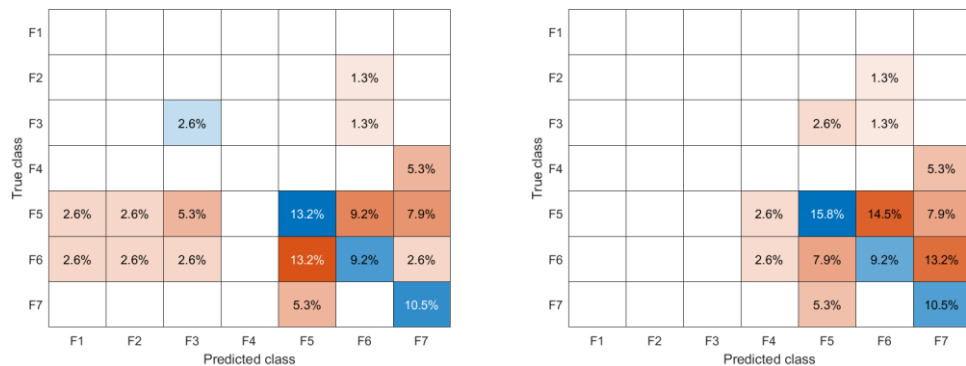
Figure 4. Error in assessment for decision tree (DT) and fuzzy rule-based (FRB) assessment methods with or without expert-defined weights for in-plane insertions (left) and out-of-plane insertions (right). Top row shows results from predicting the mean expert-assigned score using the mean expert configuration; bottom row shows results from predicting each individual expert-assigned score with each expert's respective configuration. Data from 24 users over 43 trials.



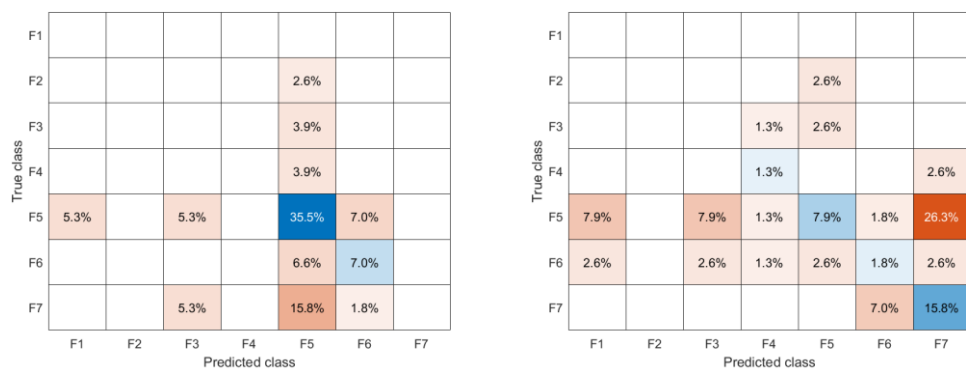


1  
2 Figure 5. Usefulness of feedback produced by the decision tree (DT) and fuzzy rule-based (FRB)  
3 assessment methods for in-plane insertions (left) and out-of-plane insertions (right). Red line  
4 indicates median. Data from 24 users over 43 trials.  
5

1



2



9

Figure 6. Confusion matrices illustrating errors in predicted feedback for in-plane (top) and out-of-plane (bottom) ultrasound-guided needle insertions using importance-aided decision tree (left) and fuzzy rule-based (right) assessment. Ties are distributed across all tied labels. Labels correspond to feedback vocabulary. Blue shading indicates correct predictions; red shading indicates incorrect predictions. Intensity of shading indicates higher concentration. Data from 19 users over 19 trials.

1 Table 1. Description of performance metrics for in-plane and out-of-plane insertions.

<i>In-Plane Metrics</i>	
Elapsed Time (s)	Total time from the start of the insertion to the end of the insertion
Needle path length (mm)	Total distance travelled by the tip of the needle
Probe path length (mm)	Total distance travelled by the foot of the ultrasound probe
Needle path efficiency (%)	Ratio of the needle's path length to the distance between the needle's start and end points
Average needle to ultrasound plane distance (mm)	Average orthogonal distance between the needle tip and the ultrasound plane
Maximum needle to ultrasound plane distance (mm)	Maximum orthogonal distance between the needle tip and the ultrasound plane
Average needle to ultrasound plane angle (°)	Average angle between the needle and the ultrasound plane
Maximum needle to ultrasound plane angle (°)	Maximum angle between the needle and the ultrasound plane
<i>Out-of-Plane Metrics</i>	
Elapsed Time (s)	Total time from the start of the insertion to the end of the insertion
Needle path length (mm)	Total distance travelled by the tip of the needle
Probe path length (mm)	Total distance travelled by the foot of the ultrasound probe
Needle path efficiency (%)	Ratio of the needle's path length to the distance between the needle's start and end points
Maximum distance needle is past ultrasound plane (mm)	Maximum orthogonal distance the needle tip travels past the ultrasound plane
Total time needle is past ultrasound plane (s)	Total time spent with the needle tip past the ultrasound plane
Average rotation from needle to ultrasound plane normal (°)	Average angle between the needle and the plane orthogonal to the ultrasound marked-unmarked vector

2

1 Table 2. Plain-language feedback associated with each performance metric for in-plane and out-  
 2 of-plane insertions.

<i>In-Plane Metrics</i>	
Elapsed Time (s)	F1. Keep practicing with proper technique to improve your time efficiency.
Needle path length (mm)	F2. Look at the depth of your target, and try to estimate the correct angle of needle insertion.
Probe path length (mm)	F3. Get a longitudinal ultrasound image of the middle of the vessel and stabilize the probe using your hand/finger against the gel surface.
Needle path efficiency (%)	F4. Try to focus on a smooth, straight needle path while inserting the needle as close to the ultrasound plane as possible.
Average needle to ultrasound plane distance (mm)	F5. Start with the needle in the middle of the ultrasound probe and try to keep it aligned with the ultrasound plane during needle insertion.
Maximum needle to ultrasound plane distance (mm)	
Average needle to ultrasound plane angle (°)	F6. Do not change the angle between the needle and the ultrasound plane during needle insertion. This will make sure that there is perfect alignment.
Maximum needle to ultrasound plane angle (°)	
	F7. Well done.
<i>Out-of-Plane Metrics</i>	
Elapsed Time (s)	F1. Keep practicing with proper technique to improve your time efficiency.
Needle path length (mm)	F2. Look at the depth of your target, and try to estimate the correct angle of needle insertion.
Probe path length (mm)	F3. Do not move the probe when advancing the needle. Advance the probe very slightly when the needle appears in the ultrasound image.
Needle path efficiency (%)	F4. Insert the needle in a straight, smooth path.
Maximum distance needle is past ultrasound plane (mm)	F5. Keep the ultrasound plane slightly ahead of the needle. If you see the needle tip on the screen, move the ultrasound slightly ahead until the needle disappears and then continue needle insertion until the needle appears again.
Total time needle is past ultrasound plane (s)	
Average rotation from needle to ultrasound plane normal (°)	F6. Start with the target in the middle of the ultrasound screen, with the needle in the middle of the probe at 90° to the probe and 45° to the gel surface. Do not change this angle during the needle insertion.
	F7. Well done.

1 Table 3. Results of post hoc testing for differences in decision tree (DT), fuzzy rule-based (FRB),  
 2 zero-rule (ZR), linear regression (LR), support vector machine (SVM), nearest neighbor with  
 3 sequential forward feature selection (SFFS-NN), and random forest (RF) assessment. Mean ranks  
 4 indicates the mean rank of accuracy for the method when compared to the other methods.  
 5 Significant indicates which methods were significantly different, and whether the method was  
 6 more accurate (<) or less accurate (>).

<i>In-Plane</i>		
Assessment Method	Mean Rank	Significant
Decision Tree	3.09	<ZR
Fuzzy Rule-Based	4.40	>RF
Zero-Rule	5.74	>DT, LR, SVM, SFFS-NN, RF
Linear Regression	3.63	<ZR
Support Vector Machine	4.09	<ZR
SFFS-Nearest Neighbor	4.21	<ZR
Random Forest	2.84	<FRB, ZR
<i>Out-of-Plane</i>		
Assessment Method	Mean Rank	Significant
Decision Tree	3.19	<FRB, ZR, SFFS-NN
Fuzzy Rule-Based	4.63	>DT, SVM, RF
Zero-Rule	5.81	>DT, LR, SVM, RF
Linear Regression	3.88	<ZR
Support Vector Machine	3.21	<FRB, ZR
SFFS-Nearest Neighbor	4.60	>DT, RF
Random Forest	2.67	<FRB, ZR, SFFS-NN

7  
8